

05-14-02

3711

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
 0112300-739,

In Re Application of P. Anthony J. Baerlocher et al.

Serial No. **09/964,163**

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U.S. PATENT & TRADEMARK OFFICE
MAY 10 2001
JC103

Filing Date

September 26, 2001

Examiner

Unknown

Group Art Unit
3711

Title: **GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS**

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Transmittal Information Disclosure Statement; Information Disclosure Statement; Information Disclosure Citation - PTO 1449; References Cited; Transmittal Letter (General - Patent Pending); Preliminary Amendment; Certificate of Express Mailing and Postcard Return Receipt.

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Adam H. Masia
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Dated:

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Adam H. Masia (Reg. No. 35,602)
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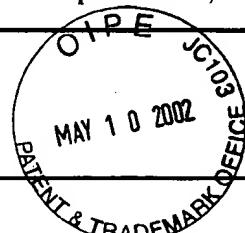
Applicant(s): Anthony J. Baerlocher et al.

Docket No.

0112300-739

Serial No.
09/964,102Filing Date
September 26, 2001Examiner
UnknownGroup Art Unit
3711

Invention:

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0112300-739

In Re Application Of: Anthony J. Baerlocher et al.

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U.S. DEPARTMENT OF COMMERCE

Serial No.
09/964,102

Filing Date
September 26, 2001

Examiner
Unknown

Group Art Unit
3711

Title: GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS

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Address to:

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Washington, D.C. 20231

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);
 - OR**
 - the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0112300-739

In Re Application: Anthony J. Baerlocher et al.

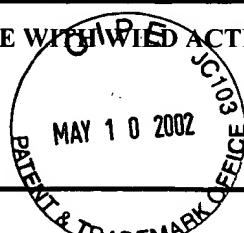
Serial No.
09/964,102

Filing Date
September 26, 2001

Examiner
Unknown

Group Art Unit
3711

GAMING DEVICE WITH WIRED ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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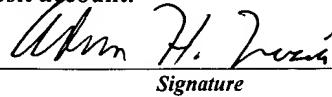
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Signature

Dated: 5/10/02

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cc:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Anthony J. Baerlocher et al.

Appl. No.: 09/964,102

Filed: September 26, 2001

Title: GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS

Art Unit: 3711

Examiner: Unknown

Docket No.: 0112300-739

Honorable Commissioner for Patents
Washington, DC 20231



INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u> | <u>Inventor(s)</u> |
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| 5,332,228 | July 6, 1994 | Schultz |
| 5,423,539 | June 13, 1995 | Nagao |
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| <u>Document No.</u> | <u>Date</u> | <u>Inventor(s)</u> |
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| AU 199717601 B2 | September 10, 1997 | Australian |
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OTHER DOCUMENTS

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The Basics of Winning Video Poker (Chapter VI Deuces Wild & Chapter VII Jokers Wild) written by J. Edward Allen, published in 1990.

Enchanted Unicorn Advertisement written by IGT, published in 2001.

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Your Real Key to Gaming Success Advertisement (including Roll Over Beethoven and Wild Fortune) written by Olympic Video Gaming, published dated unknown.

Description of Symbol Feature in Australian UFO Gaming Machine written by Barcrest Ltd., published in 1995.

REEL MAGIC™ Gaming Machine Description written by IGT, available in 1986.

ENCHANTED FOREST™ Gaming Description from Aristocrat, available in 1994.

Joker's Wild Advertisement written by IGT, published prior to 2001.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



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